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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Tsuneyuki KIKUCHI **Examiner:** Unassigned
Serial No.: 10/023,026 **Group Art Unit:** 2176
Filed: December 17, 2001 **Docket:** 15167
For: TERMINAL DEVICE, INFORMATION **Confirmation No.** 2382
COLLECTING SYSTEM, AND INFORMATION
COLLECTING METHOD **Dated:** Nov. 4, 2004

Mailstop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 23313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

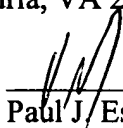
In accordance with 37 C.F.R §§1.97 and 1.98, it is requested that the following references, which are also listed on the attached Form PTO-1449, be made of record in the above-identified case.

1. S. Fune, "Implementation and Application of Electronic Commerce 2", EC Implementation Technology and Systems, Nikkei Communication, Japan, Nikkei BP, June 15th, 1998, No. 272, pp. 204-209;

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mailstop Amendment, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450 on November 4, 2004.

Dated: November 4, 2004



Paul J. Esatto, Jr.


2. Japanese Laid-Open Patent Application No. H11-96164, dated April 9, 1999;
3. Japanese Laid-Open Patent Application No. H11-134353, dated May 21, 1999;
4. Japanese Laid-Open Patent Application No. 2000-99525, dated April 7, 2000.

The references were cited in an Office Action dated August 10, 2004 received from the Japanese Patent Office. Applicant is submitting copies of the above-cited references, together with an English translation of the Examiner's comments regarding the references from the Official Action. The relevance of the references is described in the Official Action.

In compliance with the requirements of 37 C.F.R. §1.98(a)(3), as a concise statement of relevance, as it is presently understood by the individual designated in 37 C.F.R. §1.56(c) most knowledgeable about the content of the information, the undersigned attorney of record submits a translation of portions of an official action by a foreign examiner in which the references were cited. The relevance to the pending U.S. patent application is that the references were cited in a foreign patent application on the same subject matter. However, no independent analysis of the references, the accuracy of the statement of the foreign examiner or the claims of the foreign application under the laws of that country or the United States relative to the subject matter claimed in the present application has been made; the present understanding of the contents thereof by the undersigned being based on the translation of the foreign examiner's comments submitted herewith.

The undersigned attorney hereby states that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

Respectfully submitted,


Paul J. Esatto, Jr.
Reg.No.30, 749

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PJE:ahs

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
15167

In Re Application Of: **Tsuneyuki KIKUCHI**



Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/023,026	Dec. 17, 2001	Unassigned	23389	2176	2382

Title: **TERMINAL DEVICE, INFORMATION COLLECTING SYST EM,
AND INFORMATION COLLECTING METHOD**

Address to:
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

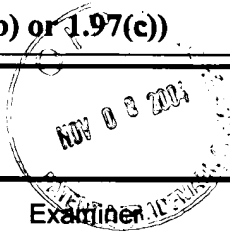
37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
- ☐ the statement specified in 37 CFR 1.97(e);
- OR**
- ☐ the fee set forth in 37 CFR 1.17(p).

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
15167

In Re Application: **Tsuneyuki KIKUCHI**



Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/023,026	Dec. 17, 2001	Unassigned	23389	2176	2382

Title: **TERMINAL DEVICE, INFORMATION COLLECTING SYST EM,
AND INFORMATION COLLECTING METHOD**

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. **19-1013/SSMP** as described below.
- ☐ Charge the amount of _____
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Nov. 4, 2004

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Dated: Nov. 4, 2004

Signature

Paul J. Esatto, Jr., Reg. No. 30,749

SCULLY, SCOTT, MURPHY & PRESSER

PJE:ahs

cc:

INFORMATION DISCLOSURE CITATION <i>(Use several sheets if necessary)</i>				Docket Number (Optional) 15167		Application Number 10/023,026		
				Applicant(s) Tsuneyuki KIKUCHI				
				Filing Date Dec. 17, 2001		Group Art Unit 2176		
U.S. PATENT DOCUMENTS								
*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
U.S. PATENT APPLICATION PUBLICATIONS								
*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
FOREIGN PATENT DOCUMENTS								
	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
		H11-96164	4/9/99	Japan				
		H11-134353	5/21/99	Japan				
		2000-99525	4/7/00	Japan				
OTHER DOCUMENTS								<i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>
		S. Fune, "Implementation and Application of Electronic Commerce", EC Implementation Technology and Systems, Nikkei Communication, Vol. 2, pp. 204-209 (1998)						
EXAMINER				DATE CONSIDERED				
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.								